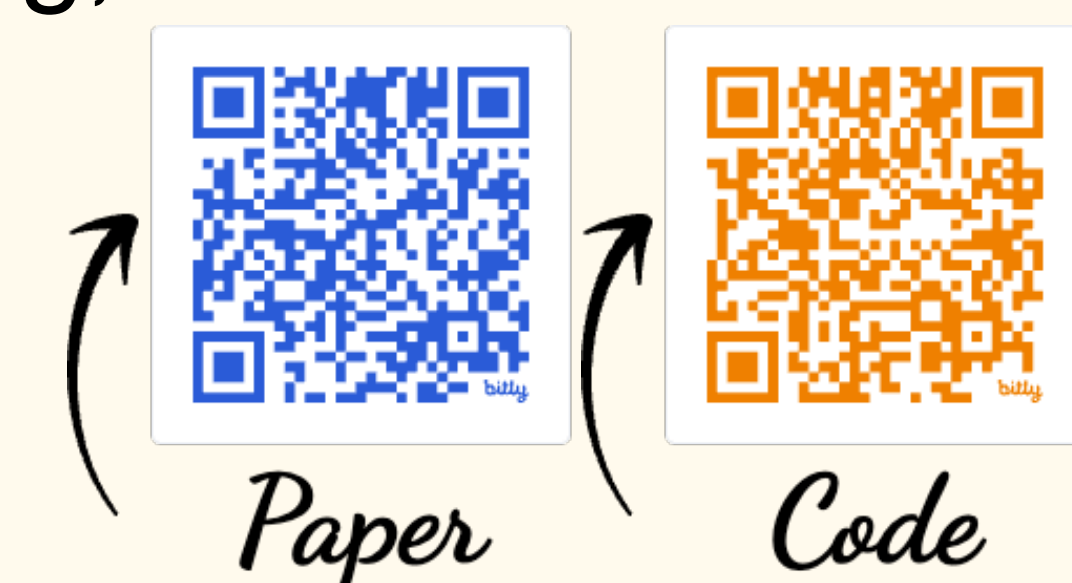


# DeepRetrieval: Hacking Real Search Engines and Retrievers with Large Language Models via Reinforcement Learning

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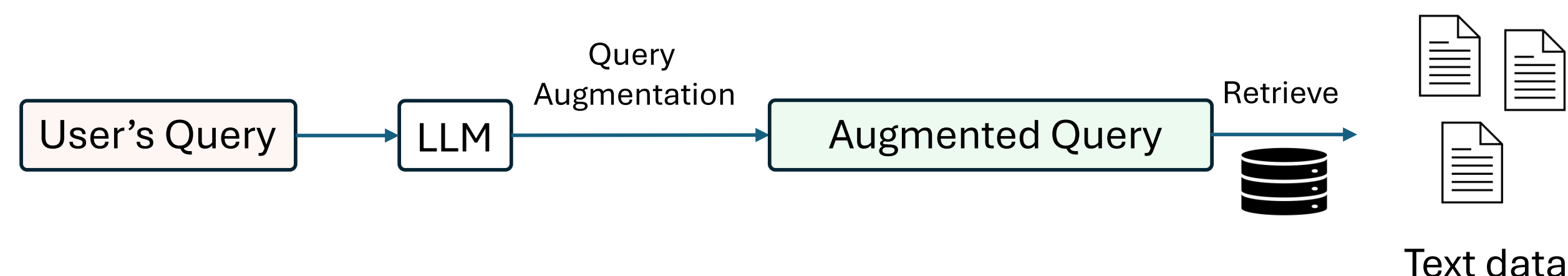


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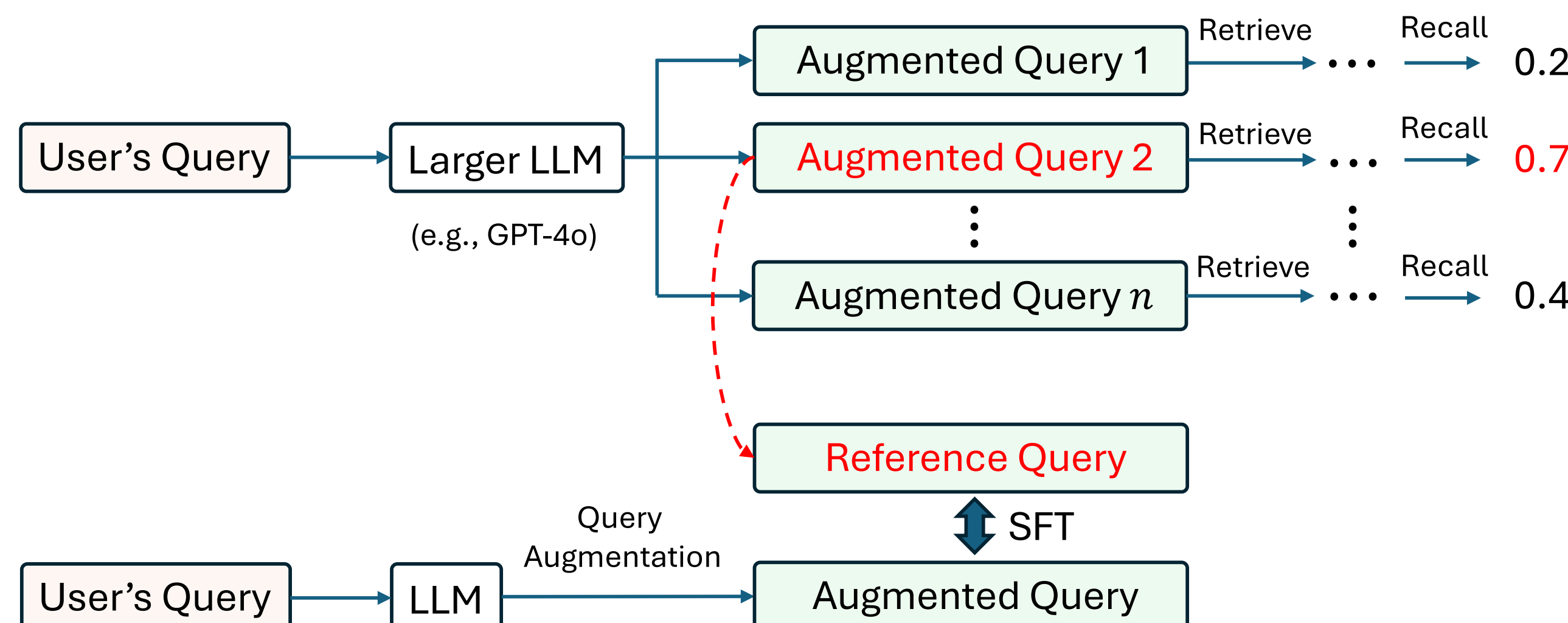


## Background

- Information retrieval systems often struggle with the semantic gap between user queries and relevant documents.
- Query Augmentation** bridges this gap by reformulating queries to better match relevant content:



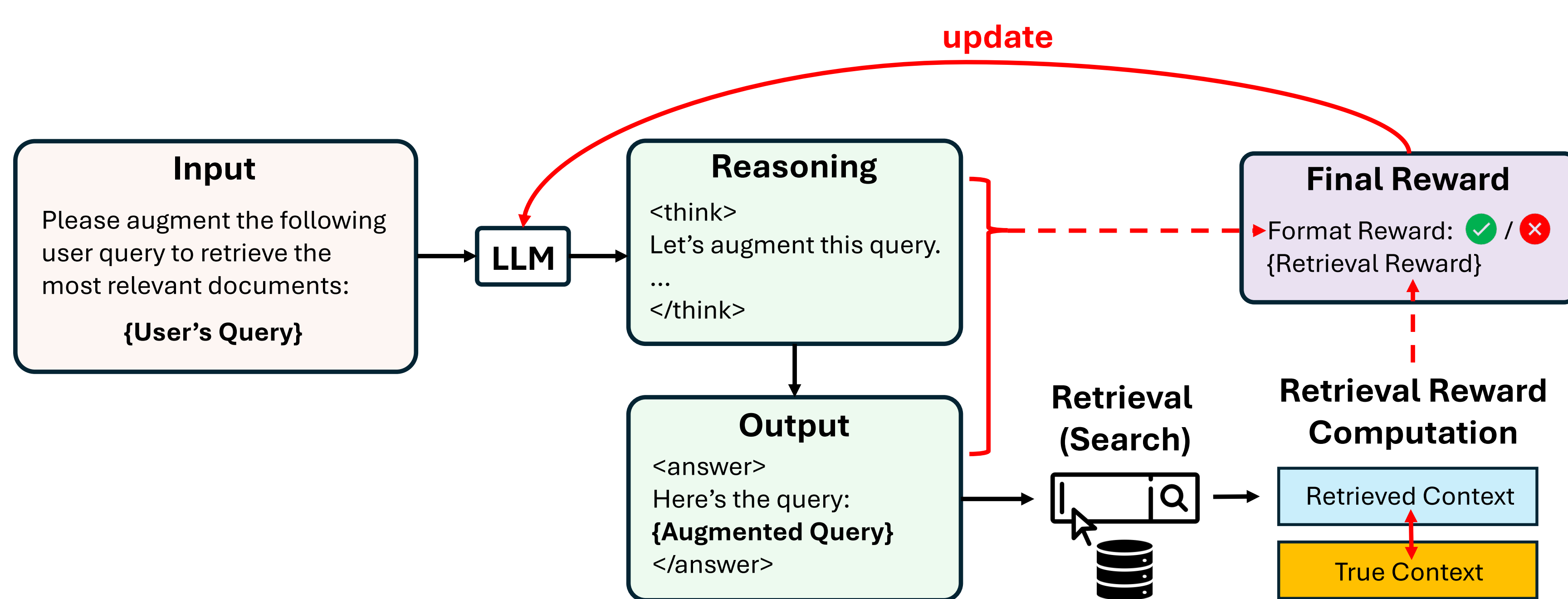
### Previous Approaches (Distillation from Larger LLMs):



- Costly and highly rely on the quality of reference query (often suboptimal)

Inspired by DeepSeek-R1, we introduce **DeepRetrieval**

## DeepRetrieval Framework



**DeepRetrieval** discovers optimal query patterns through direct interaction with retrieval systems

### Query Generation & Retrieval:

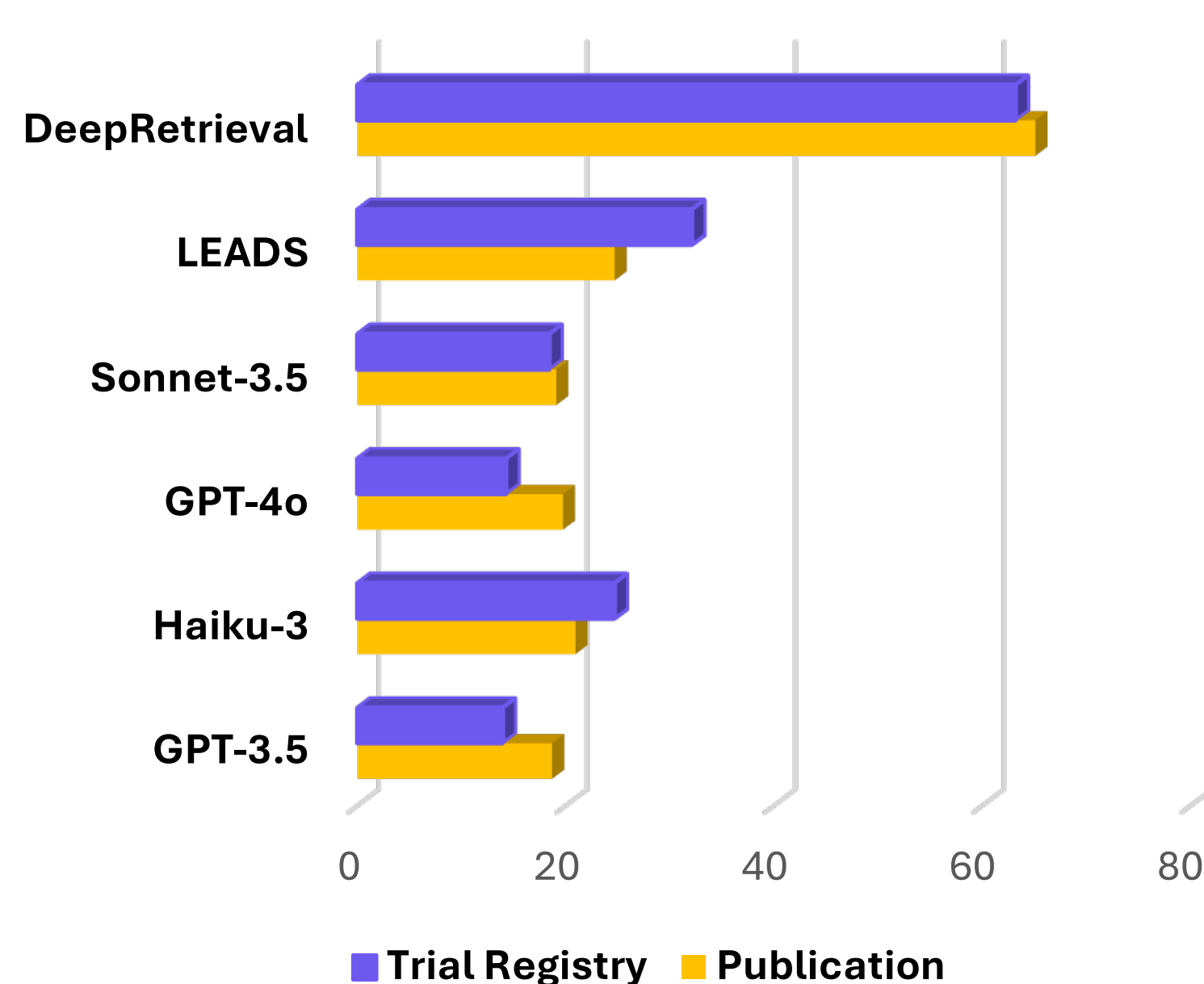
- Input: User query enters the system
- Reasoning: Model first thinks through augmentation strategy in `<think>` tags
- Output: Model provides final augmented query in `<answer>` tags
- Retrieval: Search system executes query and retrieves documents

### Reward Optimization:

- Format reward ensures adherence to required output structure
- Retrieval reward directly measures search effectiveness (recall, NDCG, etc.)

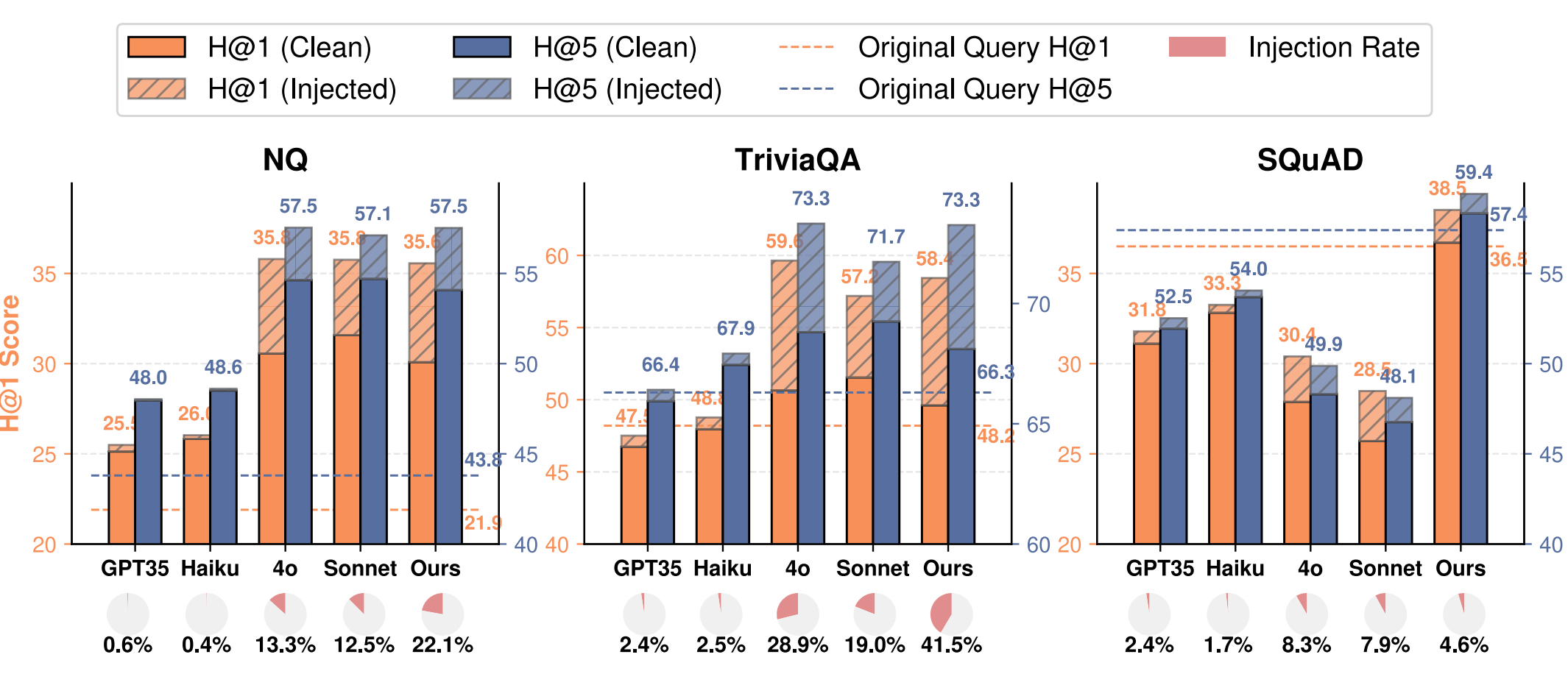
## Main Results (find full tables in our paper)

### Task 1: Real Search Engines



**DeepRetrieval-3B's 65.07% vs. Previous SOTA (SFT)'s 24.68%** on PubMed Search API (Measured by Recall@3K)

### Task 2: Evidence-Seeking Retrieval

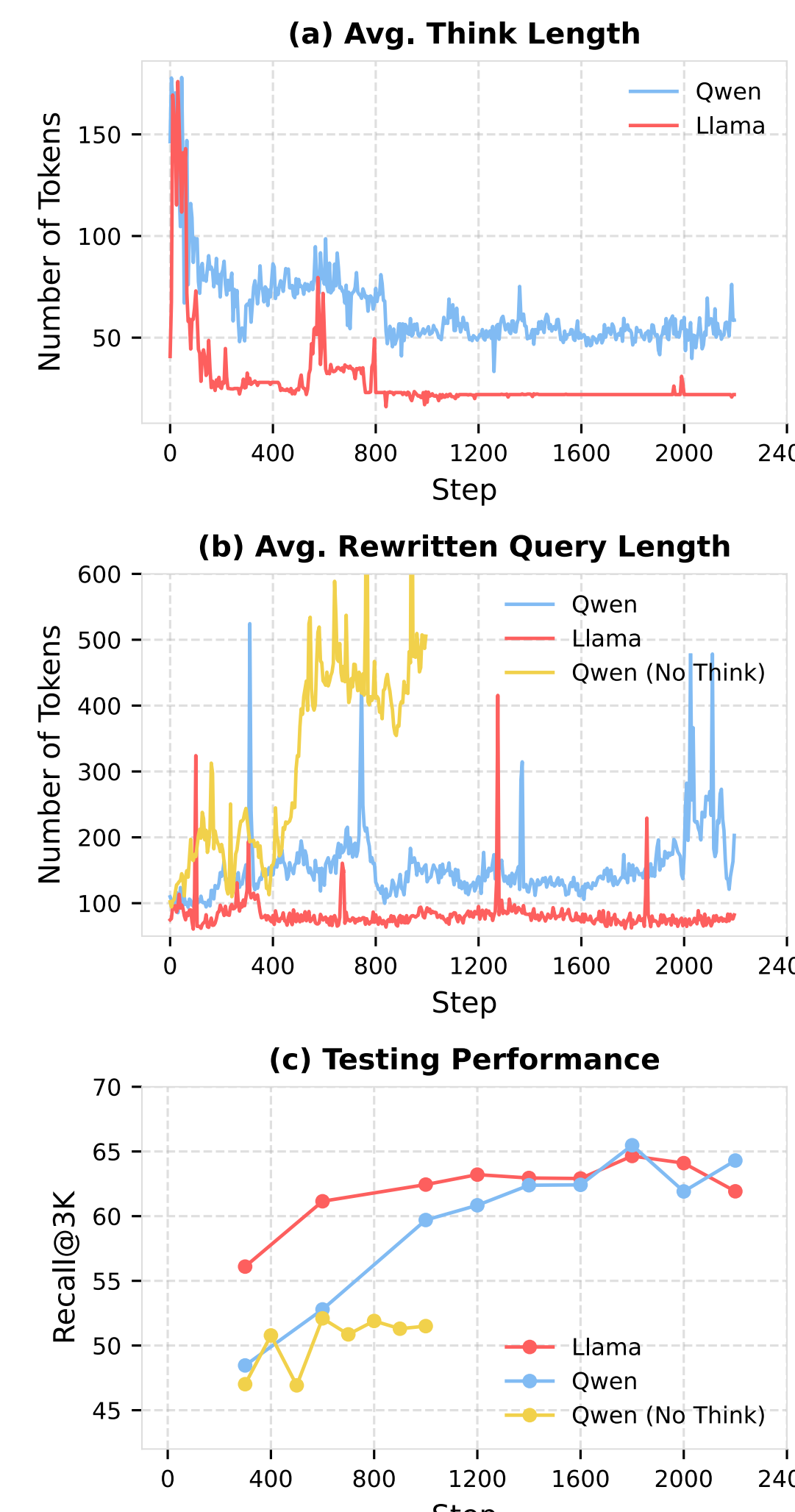


**Evidence-Seeking Retrieval:** Given a question, looking for the answer span in the retrieved documents. Measured by Hits@N. The shadowed barchart and piechart shows the performance gain by knowledge injection and injection ratio.

Our **DeepRetrieval-3B** achieves comparable performance to GPT-4o/Claude-3.5 on NQ and TriviaQA, and outperforms them on SQuAD.

## Discussions & Takeaways

### Think/Query Length Study



**Reasoning Evolution:** Unlike tasks requiring long reasoning chains, reasoning length decreases over time as models internalize effective strategies

**Different Strategies leading to similar performance:** Models discover distinct approaches (Qwen favors longer queries, LLaMA produces shorter ones), yet achieve comparable recall (~65%) - demonstrating multiple valid paths to high performance

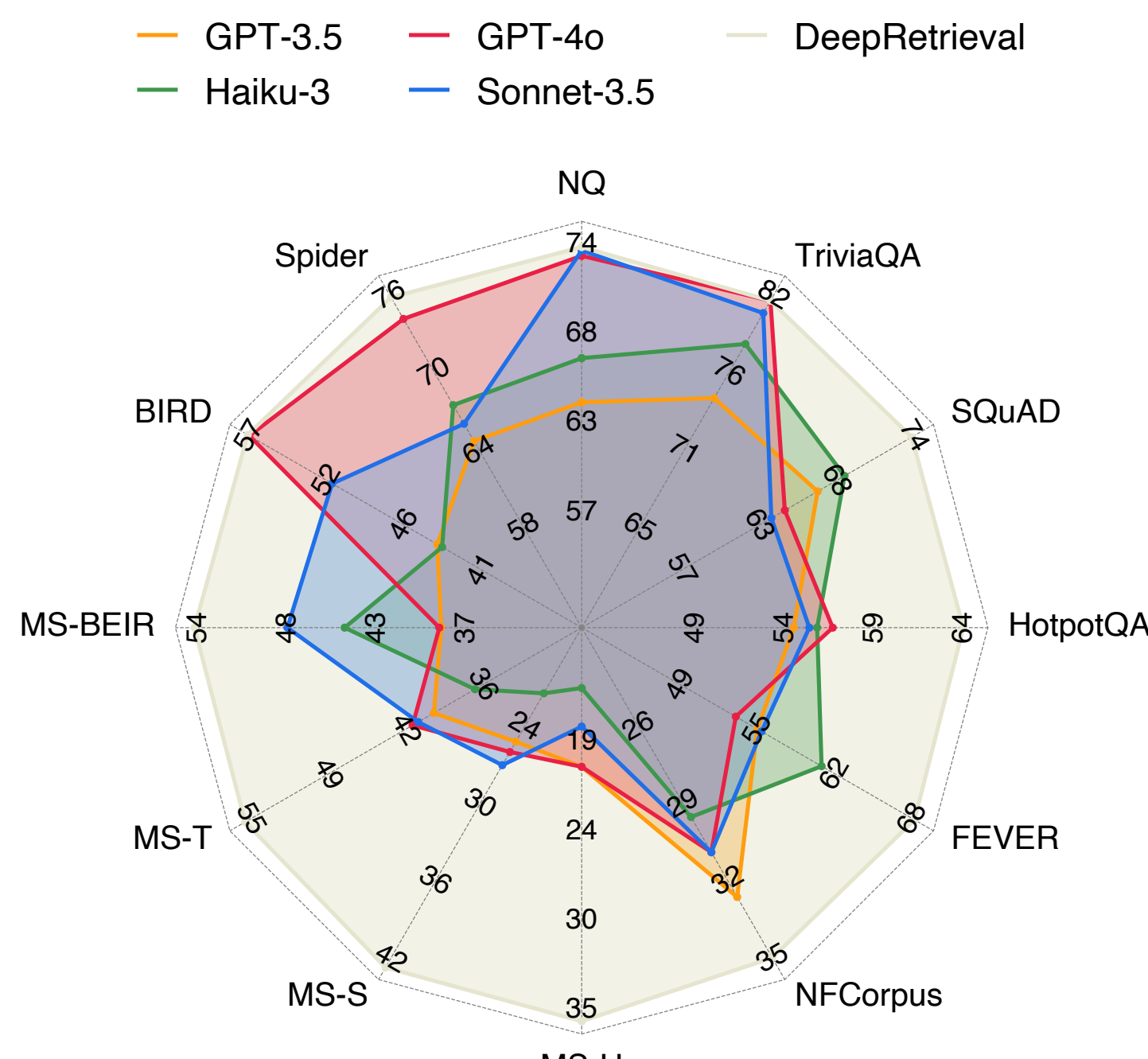
**Without Reasoning:** Models fall into local minima of query verbosity (yellow line) with lower performance (~52% vs ~65% recall)

**Key Finding:** Thinking phase is crucial for exploration during training but becomes more efficient as model learns optimal patterns

### Task 3: SQL Search

### Task 4: Classic IR

Methods	BIRD	Spider
<b>Zero-shot (w/ reasoning)</b>		
GPT-3.5	44.07	64.88
GPT-4o	55.93	73.40
Claude-3-Haiku	43.81	67.44
Claude-3.5-Sonnet	50.65	66.05
Qwen2.5-3B-Inst	30.83	55.13
Qwen2.5-Coder7B-Inst	33.57	54.45
Qwen2.5-Coder7B-Inst	45.57	67.70
<b>SFT</b>		
Qwen2.5-3B-Inst	33.77	56.67
Qwen2.5-Coder7B-Inst	39.77	58.61
Qwen2.5-Coder7B-Inst	44.07	65.96
<b>Ours</b>		
DeepRetrieval3B-Base w/ cold start	41.40	68.79
DeepRetrieval3B-Base w/o reasoning	44.00	70.33
DeepRetrieval3B-Base w/o reasoning	39.57	70.24
DeepRetrieval3B-Coder w/ cold start	49.02	74.85
DeepRetrieval3B-Coder w/o reasoning	50.52	74.34
DeepRetrieval3B-Coder w/o reasoning	47.00	73.59
DeepRetrieval7B-Coder	<b>56.00</b>	<b>76.01</b>

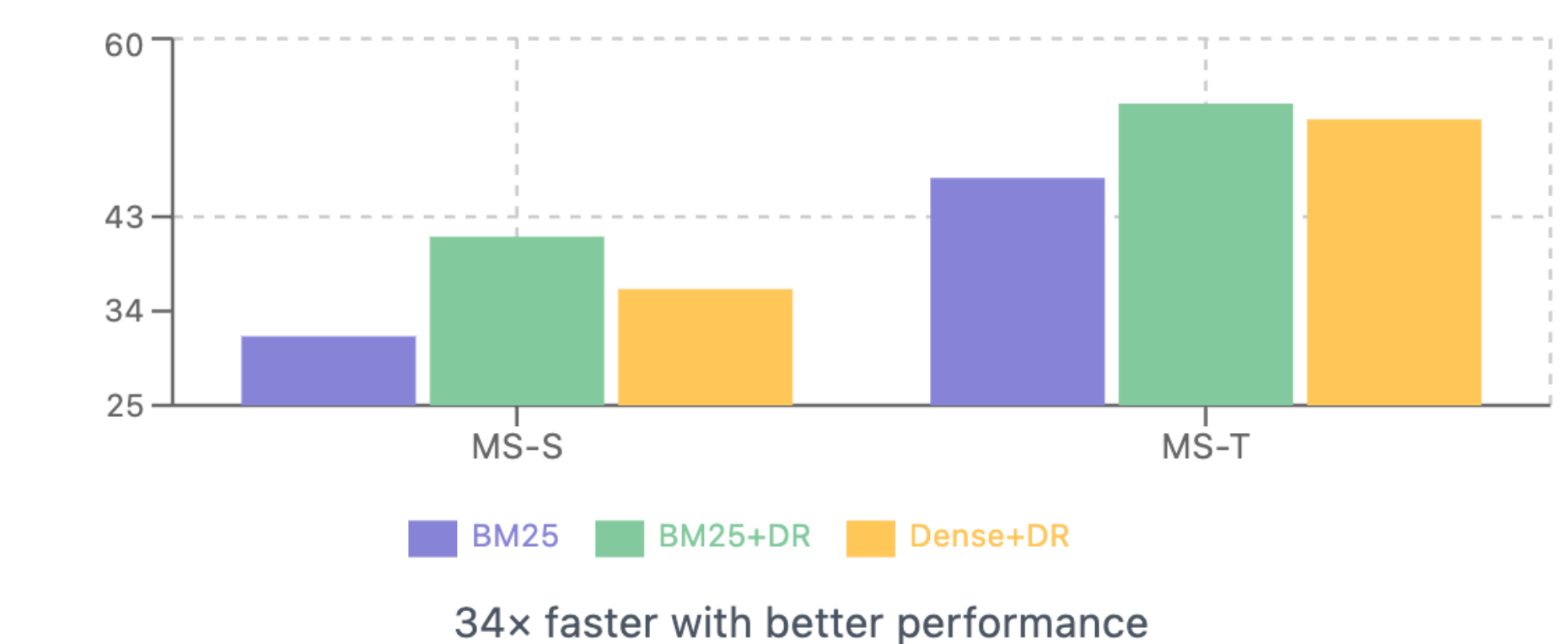


### Why RL >> SFT?

- Direct Optimization:** RL optimizes retrieval metrics directly rather than mimicking reference queries
- Exploration Advantage:** RL explores query space through trial-and-error, discovering patterns human experts might miss  
For example:  
((Total Knee Arthroplasty Trial OR Total Knee Arthroplasty Surgery) AND (Drainage OR Antibiotics Trial OR Surgical Drainage Trial OR Postoperative Drains Trial))
- Task Adaptability:** RL performs consistently well across scenarios with varying levels of ground truth availability

**They are also complementary :** SFT can provide strong initialization for RL when model lacks domain capabilities (SQL coding)

### BM25 Renaissance for Classic IR



- BM25+DeepRetrieval** combines the efficiency of sparse retrieval with performance that **matches or exceeds dense methods**.
- Our experiments show 34x faster runtime while achieving better accuracy on MS MARCO domain-specific collections.

### More Questions?

Feel free to reach out Patrick Jiang ([pj20@illinois.edu](mailto:pj20@illinois.edu)) if you have further questions & discussions!