GraphCare: Enhancing Healthcare Predictions With Personalized Knowledge Graphs

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Overview

- Motivation
- Challenges
- Method
- Experiments

Motivation

1. Personalized KG is needed for personalized treatment.

2. Existing works mainly focus on

1) simple hierarchical relations

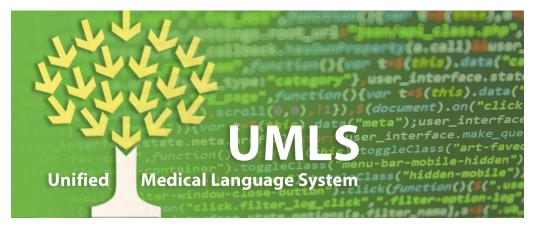
2) the inner graphical structure of EHR

while there are many external biomedical KGs available

LLM as Knowledge Base



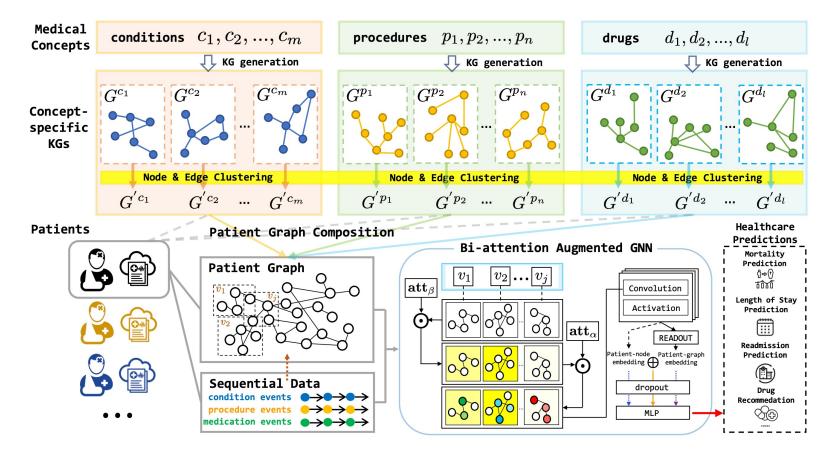
UMLS-KG



Challenges

- 1. How to construct personalized KGs utilizing external knowledge bases?
 - We construct medical concept-specific KGs
- 2. How to improve time-series clinical (EHR) predictions with those KGs?
 - We treat personalized knowledge graphs as patient representations

Our proposed method - GraphCare



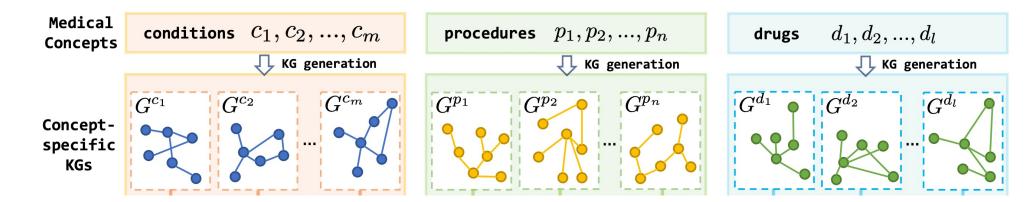
Step 1: Generate concept-specific KGs for every medical concept using LLM prompts and by subsampling from existing KGs. Perform clustering on nodes and edges across these KGs.

Step 2: For each patient, merge relevant concept-specific KGs to form a personalized KG.

Step 3: Employ Bi-attention Augmented (BAT) Graph Neural Network (GNN) to predict based on the personalized KGs.

GraphCare – Concept-specific KG Generation

<u>Step 1</u>: Generate concept-specific KGs for every medical concept using LLM prompts and by subsampling from existing KGs. Perform clustering on nodes and edges across these KGs.



1.1 Knowledge extraction from LLM through prompting:

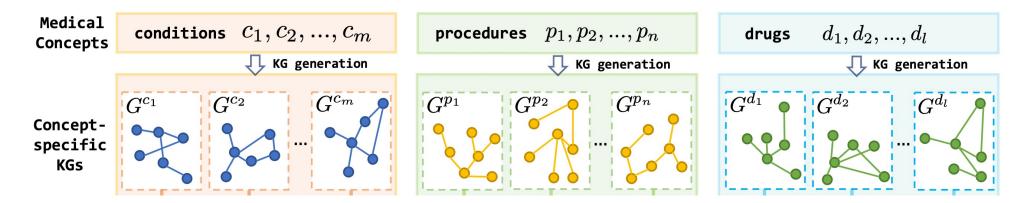
```
"""Example:
                                                                                             prompt: systemic lupus erythematosus
                                                                                             updates: [[systemic lupus erythematosus, is an, autoimmune condition], [systemic
Given a prompt (a medical condition/procedure/drug), extrapolate as many relationships
                                                                                             lupus erythematosus, may cause, nephritis], [anti-nuclear antigen, is a test for,
as possible of it and provide a list of updates.
                                                                                             systemic lupus erythematosus], [systemic lupus erythematosus, is treated with,
The relationships should be helpful for healthcare prediction (e.g., drug
                                                                                             steroids], [methylprednisolone, is a, steroid]]
recommendation, mortality prediction, readmission prediction ...)
                                                                                             .....
Each update should be exactly in format of [ENTITY 1, RELATIONSHIP, ENTITY 2]. The
                                                                                             elif category == "procedure":
relationship is directed, so the order matters.
                                                                                             example = \
Both ENTITY 1 and ENTITY 2 should be noun.
                                                                                             """Example:
Any element in [ENTITY 1, RELATIONSHIP, ENTITY 2] should be conclusive, make it as
                                                                                             prompt: endoscopy
short as possible.
                                                                                             updates: [[endoscopy, is a, medical procedure], [endoscopy, used for, diagnosis],
Do this in both breadth and depth. Expand [ENTITY 1, RELATIONSHIP, ENTITY 2] until the
                                                                                             [endoscopic biopsy, is a type of, endoscopy], [endoscopic biopsy, can detect.
size reaches 100.
                                                                                             ulcersll
                                                                                             0.000
{example}
                                                                                             elif category == "drug":
                                                                                             example = \
prompt: {term}
                                                                                             """"Example:
updates:
                                                                                             prompt: iobenzamic acid
                                                                                             updates: [[iobenzamic acid, is a, drug], [iobenzamic acid, may have, side
                                                                                            effects], [side effects, can include, nausea], [iobenzamic acid, used as, X-ray
                                                                                             contrast agent], [iobenzamic acid, formula, C16H13I3N203]]
                                                                                             .....
```

if category == "condition":

example = \

GraphCare – Concept-specific KG Generation

<u>Step 1</u>: Generate concept-specific KGs for every medical concept using LLM prompts and by subsampling from existing KGs. Perform clustering on nodes and edges across these KGs.



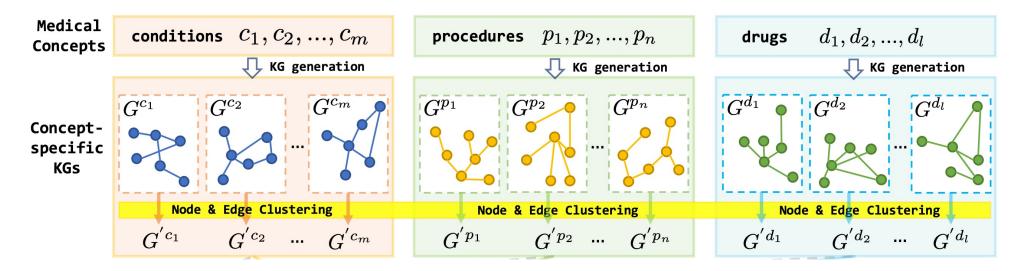
1.2 Subgraph sampling from existing KG:

```
1: procedure SUBGRAPHSAMPLING(medical concept e, KG \mathcal{G}, hop limit \kappa, window size \epsilon)
            Initialize an empty list Q and an empty graph G^e_{\mathrm{sub}(\kappa)} = (\mathcal{V}^e_{\mathrm{sub}(\kappa)}, \mathcal{E}^e_{\mathrm{sub}(\kappa)})
 2:
            Add e to Q
 3:
            \mathcal{V}^{e}_{\mathrm{sub}(\kappa)} \leftarrow \mathcal{V}^{e}_{\mathrm{sub}(\kappa)} \cup \{e\}
 4:
            for i = 1 to \kappa do
 5:
                  Initialize an empty list Q_{\text{next}}
 6:
 7:
                  for all ent \in Q do
 8:
                        if i = 1 then
                               Retrieve all triples (ent, rel, ent') or (ent', rel, ent) from \mathcal{G}
 9:
10:
                        else
                               Randomly retrieve \epsilon triples (ent, rel, ent') or (ent', rel, ent) from \mathcal{G}
11:
12:
                        end if
                        Add retrieved triples to \mathcal{E}^{e}_{\mathrm{sub}(\kappa)}
13:
                        \mathcal{V}^e_{\mathrm{sub}(\kappa)} \leftarrow \mathcal{V}^e_{\mathrm{sub}(\kappa)} \cup \{\mathrm{ent'}\}
14:
                        Add ent' to Q_{\text{next}}
15:
16:
                  end for
                  Q \leftarrow Q_{\text{next}}
17:
            end for
18:
            return G^e_{\mathrm{sub}(\kappa)}
19:
```

(For the first hop, we retrieve all the triples containing the concept. For the second (and higher) hop, we randomly retrieve triples of window size ϵ)



<u>Step 1</u>: Generate concept-specific KGs for every medical concept using LLM prompts and by subsampling from existing KGs. Perform clustering on nodes and edges across these KGs.



1.3 Node & Edge Clustering

Based on the word embedding of nodes and edges, we apply agglomerative clustering to get two mappings:

 $egin{array}{lll} \mathcal{C}_{\mathcal{V}}\,:\,\mathcal{V}\,
ightarrow\,\mathcal{V}'\ \mathcal{C}_{\mathcal{E}}\,:\,\mathcal{E}\,
ightarrow\,\mathcal{E}' \end{array}$

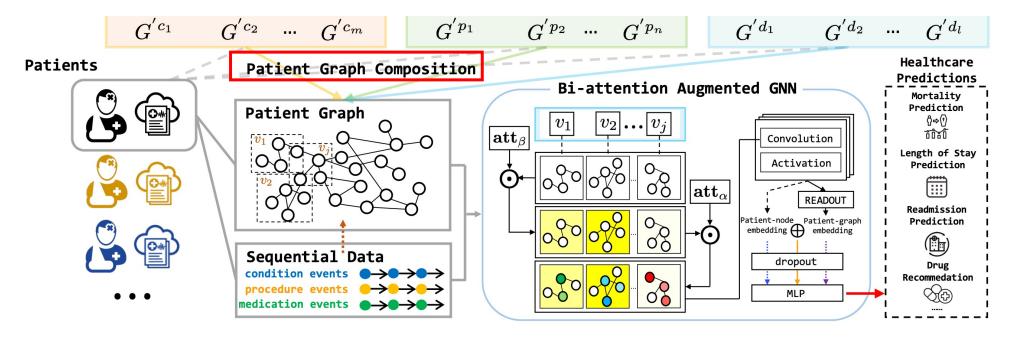
New global graph (node clusters as new nodes; edge clusters as new edges):

New concept-specific graph:

 $G^{'} = (\mathcal{V}^{'}, \mathcal{E}^{'}) \longrightarrow G^{'e} = (\mathcal{V}^{'e}, \mathcal{E}^{'e}) \subset G^{'}$

GraphCare – Personalized KG Composition <u>Step 2</u>: For each patient, merge rele

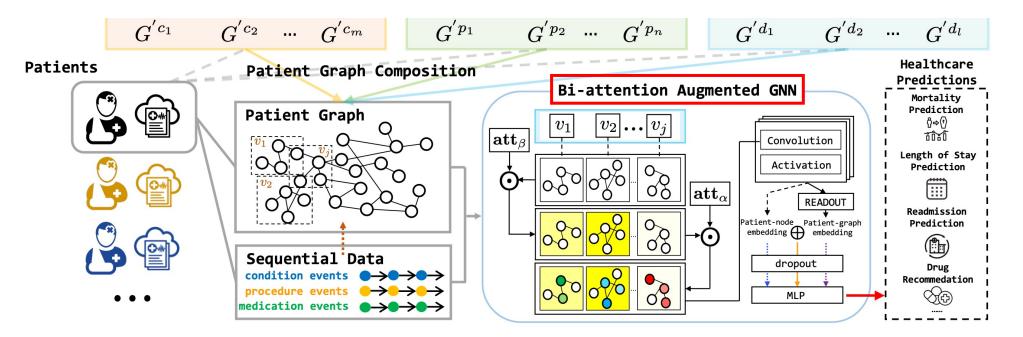
Step 2: For each patient, merge relevant concept-specific KGs to form a personalized KG.



For each patient, we compose their personalized KG by merging the clustered KGs of their medical concepts. We create a patient node (\mathcal{P}) and connect it to its direct EHR nodes in the graph. The personalized KG for a patient can be represented as $G_{\text{pat}} = (\mathcal{V}_{\text{pat}}, \mathcal{E}_{\text{pat}})$, where $\mathcal{V}_{\text{pat}} = \mathcal{P} \cup \{\mathcal{V}^{e_1}, \mathcal{V}^{e_2}, ..., \mathcal{V}^{e_\omega}\}$ and $\mathcal{E}_{\text{pat}} = \epsilon \cup \{\mathcal{E}^{e_1}, \mathcal{E}^{e_2}, ..., \mathcal{E}^{e_\omega}\}$, with $\{e_1, e_2, ..., e_\omega\}$ being the medical concepts directly associated with the patient, ω being the number of concepts, and ϵ being the edge connecting \mathcal{P} and $\{e_1, e_2, ..., e_\omega\}$. Further, as a patient is represented as a sequence of J visits (Choi et al., 2016a), the visit-subgraphs for patient i can be represented as $G_{\text{pat}(i)} = \{G_{i,1}, G_{i,2}, ..., G_{i,J}\} = \{(\mathcal{V}_{i,1}, \mathcal{E}_{i,1}), (\mathcal{V}_{i,2}, \mathcal{E}_{i,2}), ..., (\mathcal{V}_{i,J}, \mathcal{E}_{i,J})\}$ for visits $\{x_1, x_2, ..., x_J\}$ where $\mathcal{V}_{i,j} \subseteq \mathcal{V}_{\text{pat}(i)}$ and $\mathcal{E}_{i,j} \subseteq \mathcal{E}_{\text{pat}(i)}$ for $1 \leq j \leq J$.

GraphCare – Bi-attention Augmented GNN

<u>Step 3</u>: Employ Bi-attention Augmented (BAT) Graph Neural Network (GNN) to predict based on the personalized KGs.



$$\begin{aligned} \alpha_{i,j,1}, \dots, \alpha_{i,j,M} &= \operatorname{Softmax}(\mathbf{W}_{\alpha}\mathbf{g}_{i,j} + \mathbf{b}_{\alpha}), \\ \beta_{i,1}, \dots, \beta_{i,N} &= \boldsymbol{\lambda}^{\top} \operatorname{Tanh}(\mathbf{w}_{\beta}^{\top}\mathbf{G}_{i} + \mathbf{b}_{\beta}), \quad \text{where} \quad \boldsymbol{\lambda} = [\lambda_{1}, \dots, \lambda_{N}], \\ \mathbf{h}_{i,j,k}^{(l+1)} &= \sigma \left(\mathbf{W}^{(l)} \sum_{j' \in J, k' \in \mathcal{N}(k) \cup \{k\}} \left(\underbrace{\alpha_{i,j',k'}^{(l)} \beta_{i,j'}^{(l)} \mathbf{h}_{i,j',k'}^{(l)}}_{\operatorname{Node aggregation term}} + \underbrace{\mathbf{w}_{\mathcal{R}\langle k,k' \rangle}^{(l)} \mathbf{h}_{(i,j,k) \leftrightarrow (i,j',k')}}_{\operatorname{Edge aggregation term}} \right) + \mathbf{b}^{(l)} \right) \end{aligned}$$

GraphCare – Bi-attention Augmented GNN

<u>Step 3</u>: Employ Bi-attention Augmented (BAT) Graph Neural Network (GNN) to predict based on the personalized KGs.

Attention Initialization

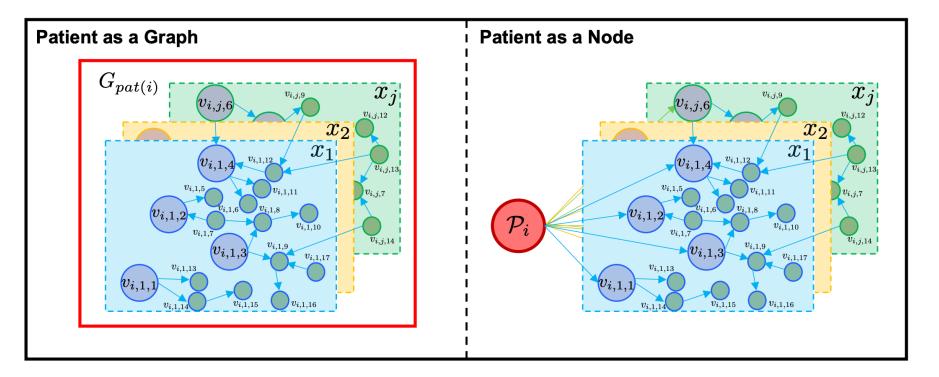
Table 4: Keyword candidates we attempted for attention initialization. We *highlight* the keywords we finally used in the experiments.

Task	Conditions	Procedures	Drugs palliative medication, end-of-life drugs, life support drugs, emergency meds	
MT.	<i>terminal condition</i> , critical diagnosis, end-stage, life-threatening	<i>critical interventions,</i> <i>life-saving measures,</i> <i>resuscitation,</i> <i>emergency procedure</i>		
RA. chronic ailment, postoperative complication, recurrent, readmission-prone		follow-up procedure, secondary intervention, post-treatment , treatment review	maintenance medication, postoperative drugs, treatment continuation , follow-up meds	
LOS	acute condition, severe diagnosis , long-term ailment, extended-care diagnosis	major surgery, intensive procedure , long recovery intervention, extended hospitalization	-	
Drug. chronic disease, acute diagnosis, symptomatic, treatable condition		diagnostic procedure, treatment procedure, medical intervention , drug-indicative procedure	-	

GraphCare – Bi-attention Augmented GNN

<u>Step 3</u>: Employ Bi-attention Augmented (BAT) Graph Neural Network (GNN) to predict based on the personalized KGs.

Patient Representation



$$\begin{split} \mathbf{h}_{i}^{G_{\text{pat}}} &= \text{MEAN}(\sum_{j=1}^{J}\sum_{k=1}^{K_{j}}\mathbf{h}_{i,j,k}^{(L)}), \quad \mathbf{h}_{i}^{\mathcal{P}} = \text{MEAN}(\sum_{j=1}^{J}\sum_{k=1}^{K_{j}}\mathbb{1}_{i,j,k}^{\Delta}\mathbf{h}_{i,j,k}^{(L)}), \\ \mathbf{z}_{i}^{\text{graph}} &= \text{MLP}(\mathbf{h}_{i}^{G_{\text{pat}}}), \quad \mathbf{z}_{i}^{\text{node}} = \text{MLP}(\mathbf{h}_{i}^{\mathcal{P}}), \quad \mathbf{z}_{i}^{\text{joint}} = \text{MLP}(\mathbf{h}_{i}^{G_{\text{pat}}} \oplus \mathbf{h}_{i}^{\mathcal{P}}) \end{split}$$

Performance comparison of 4 prediction tasks on MIMIC-III and MIMIC-IV

Findings:

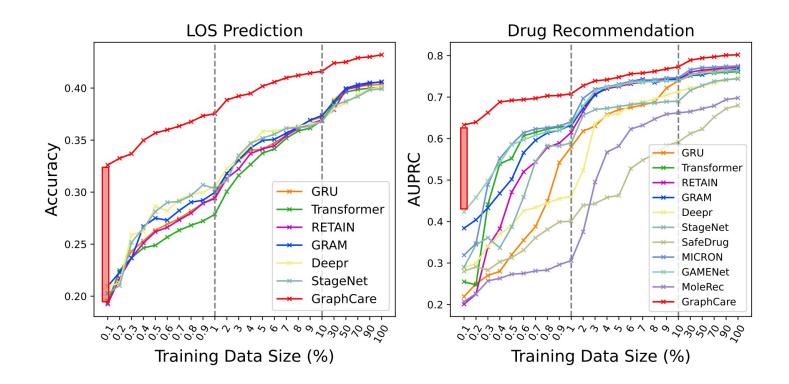
- 1. GraphCare consistently outperforms other methods on all tasks and datasets.
- 2. BAT outperforms other GNNs and graph transformers.
- 3. Performance gain on MIMIC-III is more obvious.

Model		Task 1: Mortality Prediction MIMIC-III MIMIC-IV			Task 2: Readmission Prediction MIMIC-III MIMIC-IV				
		AUPRC	AUROC	AUPRC	AUROC	AUPRC	AUROC	AUPRC	AUROC
GRU		$11.8_{(0.5)}$	$61.3_{(0.9)}$	$4.2_{(0.1)}$	$69.0_{(0.8)}$	$68.2_{(0.4)}$	$65.4_{(0.8)}$	$66.1_{(0.1)}$	$66.2_{(0.1)}$
Transformer		$10.1_{(0.9)}$	$57.2_{(1,3)}$	$3.4_{(0,4)}$	$65.1_{(1.2)}$	$67.3_{(0.7)}$	$63.9_{(1,1)}$	$65.7_{(0,3)}$	$65.3_{(0,4)}$
RETAIN		$9.6_{(0.6)}$	$59.4_{(1.5)}$	$3.8_{(0.4)}$	$64.8_{(1.6)}$	$65.1_{(1.0)}$	$64.1_{(0.7)}$	$66.2_{(0.3)}$	$66.3_{(0.2)}$
GRAM		$11.4_{(0.7)}$	$60.4_{(0.9)}$	$4.4_{(0.3)}$	$66.7_{(0.7)}$	$67.2_{(0.8)}^{(1.0)}$	$64.3_{(0.4)}$	$66.1_{(0.2)}$	$66.3_{(0.3)}$
Deepr		$13.2_{(1.1)}$	$60.8_{(0.4)}$	$4.2_{(0.2)}^{(0.3)}$	$68.9_{(0.9)}$	$68.8_{(0.9)}$	$66.5_{(0.4)}$	$65.6_{(0.1)}$	$65.4_{(0.2)}$
AdaCare		$11.1_{(0.4)}$	$58.4_{(1,4)}$	$4.6_{(0.3)}$	$69.3_{(0,7)}$	$68.6_{(0.6)}$	$65.7_{(0,3)}$	$65.9_{(0,0)}$	$66.1_{(0.0)}$
GRASP		$9.9_{(1.1)}$	$59.2_{(1.4)}$	$4.7_{(0.1)}$	$68.4_{(1.0)}$	$69.2_{(0.4)}$	$66.3_{(0.6)}$	$66.3_{(0.3)}$	$66.1_{(0.2)}$
StageNet		$12.4_{(0.3)}$	$61.5_{(0.7)}$	$4.2_{(0.3)}$	$69.6_{(0.8)}$	$69.3_{(0.6)}$	$66.7_{(0.4)}$	$66.1_{(0.1)}$	$66.2_{(0.1)}$
GRAPHCARE	w/ GAT	14.3(0.8)	67.8 _(1.1)	5.1 _(0.1)	71.8(1.0)	71.5(0.7)	68.1 _(0.6)	67.4 _(0.4)	67.3 _{(0.4}
on nond	w/ GINE	$14.4_{(0.4)}$	$67.3_{(1.3)}$	$5.7_{(0.1)}$	$72.0_{(1.1)}$	$71.3_{(0.8)}$	$68.0_{(0.4)}$	$68.3_{(0.3)}$	$67.5_{(0.4)}$
	w/EGT	$15.5_{(0.5)}$	$69.1_{(1.0)}$	$6.2_{(0.2)}$	$71.3_{(0,7)}$	$72.2_{(0.5)}$	$68.8_{(0.4)}$	$68.9_{(0,2)}$	$67.6_{(0.3)}$
	w/GPS	$15.3_{(0.9)}$	$68.8_{(0.8)}$	$6.7_{(0.2)}$	$72.7_{(0.9)}$	$71.9_{(0.6)}$	$68.5_{(0.6)}$	$69.1_{(0.4)}$	$67.9_{(0.4)}$
	w/BAT	$16.7_{(0.5)}$	$70.3_{(0.5)}$	$6.7_{(0.3)}$	$73.1_{(0.5)}$	$73.4_{(0.4)}$	$69.7_{(0.5)}$	$69.6_{(0.3)}$	$68.5_{(0.4)}$
		$\frac{10.1(0.5)}{10.0(0.5)} \frac{10.0(0.5)}{10.1(0.5)} \frac{10.1(0.5)}{10.1(0.4)} \frac{10.1(0.5)}{10.1(0.5)} \frac{10.0(0.3)}{10.0(0.3)} \frac{10.1}{10.0}$							(0.4
Model			MIM	IC-III	B •	MIMIC-IV			
		AUROC	Kappa	Accuracy	F1-score	AUROC	Kappa	Accuracy	F1-scor
GRU		$78.3_{(0.1)}$	$26.2_{(0.2)}$	$40.3_{(0.3)}$	$34.9_{(0.5)}$	$78.7_{(0.1)}$	$26.0_{(0.1)}$	$35.2_{(0.1)}$	$31.6_{(0.2)}$
Transformer		$78.3_{(0.2)}$	$25.4_{(0.4)}$	$40.1_{(0.3)}$	$34.8_{(0.2)}$	$78.3_{(0.3)}$	$25.3_{(0.4)}$	$34.4_{(0.2)}$	$31.4_{(0.3)}$
RETAIN		$78.2_{(0,1)}$	$26.1_{(0.4)}$	$40.6_{(0.3)}$	$34.9_{(0.4)}$	$78.9_{(0.3)}$	$26.3_{(0.2)}$	$35.7_{(0,2)}$	$32.0_{(0.2)}$
GRAM		$78.2_{(0,1)}$	$26.3_{(0.3)}$	$40.4_{(0.4)}$	$34.5_{(0.2)}$	$78.8_{(0.2)}$	$26.1_{(0.4)}$	$35.4_{(0,2)}$	$31.9_{(0.3)}$
Deepr		$77.9_{(0.1)}$	$25.3_{(0.4)}$	$40.1_{(0.6)}$	$35.0_{(0.4)}$	$79.5_{(0.3)}$	$26.4_{(0,2)}$	$35.8_{(0.3)}$	$32.3_{(0.1)}$
StageNet		$78.3_{(0.2)}$	$24.8_{(0.2)}$	$39.9_{(0.2)}$	$34.4_{(0.4)}$	$79.2_{(0.3)}$	$26.0_{(0.2)}$	$35.0_{(0.2)}$	$31.3_{(0.3)}$
GRAPHCARE	w/GAT	$79.4_{(0.3)}$	$28.2_{(0.2)}$	$41.9_{(0.2)}$	$36.1_{(0.4)}$	$80.3_{(0.3)}$	$28.4_{(0.4)}$	$36.2_{(0.1)}$	$33.3_{(0.3)}$
	w/ GINE	$79.2_{(0.2)}$	$28.3_{(0.3)}$	$41.5_{(0.3)}$	$36.0_{(0.4)}$	$79.9_{(0.2)}$	$27.5_{(0.3)}$	$36.3_{(0.3)}$	$32.8_{(0.2)}$
	w/EGT	$80.3_{(0,3)}$	$28.8_{(0.2)}$	$42.8_{(0,4)}$	$36.3_{(0.5)}$	$80.5_{(0.2)}$	$28.7_{(0.3)}$	$36.7_{(0,2)}$	$33.5_{(0.1)}$
	w/ GPS	$80.9_{(0.3)}$	$28.8_{(0.4)}$	$43.0_{(0.3)}$	$36.8_{(0,4)}$	$80.7_{(0.3)}$	$28.8_{(0.4)}$	$36.7_{(0,3)}$	$33.9_{(0.3)}$
	w/ BAT	$81.4_{(0.3)}$	$29.5_{(0.4)}$	$43.2_{(0.4)}$	$37.5_{(0.2)}$	$81.7_{(0.2)}$	$29.8_{(0.3)}$	$37.3_{(0.3)}$	$34.2_{(0.3)}$
N 11					k 4: Drug R	Recommendation MIMIC-IV			
Model				IC-III		AUDDO			
		AUPRC	AUROC	F1-score	Jaccard	AUPRC	AUROC	F1-score	Jaccard
GRU		$77.0_{(0.1)}$	$94.4_{(0.0)}$	$62.3_{(0.3)}$	$47.8_{(0.3)}$	$74.1_{(0.1)}$	$94.2_{(0.1)}$	$60.2_{(0.2)}$	$44.0_{(0.4)}$
Transformer		$76.1_{(0.1)}$	$94.2_{(0.0)}$	$62.1_{(0.4)}$	$47.1_{(0.4)}$	$71.3_{(0.1)}$	$93.4_{(0.1)}$	$55.9_{(0.2)}$	$40.4_{(0.1)}$
RETAIN		$77.1_{(0.1)}$	$94.4_{(0.0)}$	$63.7_{(0.2)}$	$48.8_{(0.2)}$	$74.2_{(0.1)}$	$94.3_{(0.0)}$	$60.3_{(0.1)}$	$45.0_{(0.1)}$
GRAM		$76.7_{(0.1)}$	$94.2_{(0.1)}$	$62.9_{(0.3)}$	$47.9_{(0.3)}$	$74.3_{(0.2)}$	$94.2_{(0.1)}$	$60.1_{(0.2)}$	$45.3_{(0.3)}$
Deepr		$74.3_{(0.1)}$	$93.7_{(0.0)}$	$60.3_{(0.4)}$	$44.7_{(0.3)}$	$73.7_{(0.1)}$	$94.2_{(0.1)}$	$59.1_{(0.4)}$	$43.8_{(0.4)}$
StageNet		$74.4_{(0,1)}$	$93.0_{(0.1)}$	$61.4_{(0.3)}$	$45.8_{(0.4)}$	$74.4_{(0.1)}$	$94.2_{(0.0)}$	$60.2_{(0.3)}$	$45.4_{(0.4)}$
SafeDrug		$68.1_{(0.3)}$	$91.0_{(0.1)}$	$46.7_{(0.4)}$	$31.7_{(0.3)}$	$66.4_{(0.5)}$	$91.8_{(0.2)}$	$56.2_{(0,4)}$	$44.3_{(0.3)}$
MICRON		$77.4_{(0.0)}$	$94.6_{(0.1)}$	$63.2_{(0.4)}$	$48.3_{(0,4)}$	$74.4_{(0.1)}$	$94.3_{(0.1)}$	$59.3_{(0.3)}$	44.1(0.3)
GAMENet		$76.4_{(0.0)}$	$94.2_{(0.1)}$	$62.1_{(0.4)}$	$47.2_{(0.4)}$	$74.2_{(0.1)}$	$94.2_{(0.1)}$	$60.4_{(0.4)}$	$45.3_{(0.3)}$
MoleRec		$69.8_{(0.1)}$	$92.0_{(0.1)}$	$58.1_{(0.1)}$	$43.1_{(0.3)}$	$68.6_{(0.1)}$	$92.1_{(0.1)}$	$56.3_{(0.4)}$	$40.6_{(0.3)}$
GRAPHCARE	w/ GAT	$78.5_{(0.2)}$	$94.8_{(0.1)}$	$64.4_{(0.3)}$	$49.2_{(0.4)}$	$74.7_{(0.5)}$	$94.4_{(0.3)}$	$60.4_{(0.3)}$	$45.7_{(0.4)}$
OKALIICARE	1000	$78.2_{(0.1)}$	$94.7_{(0,1)}$	$63.6_{(0.4)}$	$47.9_{(0.3)}$	$74.8_{(0.3)}$	$94.6_{(0.1)}$	$60.6_{(0.4)}$	$46.1_{(0.4)}$
OKAI IICARE	w/GINE	10.2(0.1)							
GRAINCARE	w/ GINE w/ EGT	$79.6_{(0,2)}$	$95.3_{(0.0)}$	$66.4_{(0,2)}$	$49.6_{(0,4)}$	$75.4_{(0,4)}$	$95.0_{(0.1)}$	$61.6_{(0,3)}$	$47.3_{(0.3)}$
ORAFIICARE		$\begin{array}{c} 79.6_{(0.2)} \\ 79.9_{(0.3)} \\ \textbf{80.2_{(0.2)}} \end{array}$		$\begin{array}{c} 66.4_{(0.2)} \\ 66.2_{(0.3)} \\ 66.8_{(0.2)} \end{array}$	$\begin{array}{c} 49.6_{(0.4)} \\ 49.8_{(0.4)} \\ 49.7_{(0.3)} \end{array}$	$75.4_{(0.4)}$ $75.9_{(0.5)}$	$95.0_{(0.1)} \\ 94.9_{(0.1)}$	$\begin{array}{c} 61.6_{(0.3)} \\ 62.1_{(0.3)} \\ \textbf{63.9}_{(\textbf{0.3})} \end{array}$	$47.3_{(0.3)}$ $46.8_{(0.4)}$ $48.1_{(0.3)}$

Effect of EHR Training Data Size

Findings:

- 1. GraphCare exhibits a considerable edge over other models when confronted with scarce EHR training data.
- 2. Other graph-augmented methods (e.g. GRAM, GAMENet) also show a certain level of resilience against scarce data.



Performance

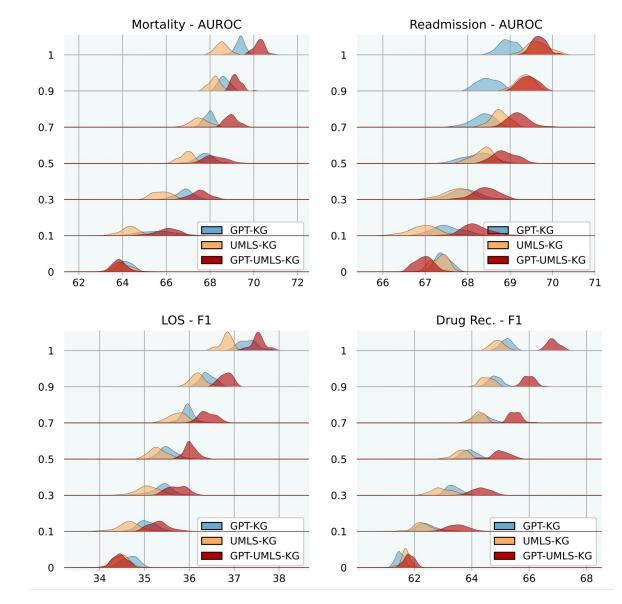
Effect of Knowledge Graph Size

Statistics of Extracted KGs

KG	Hyperparameter	# Nodes	# Edges	# Triples
GPT-KG	$\chi = 3$	4599	752	31325
UMLS-KG	$\kappa = 1$	3053	40	12421
UMLS-KG	<i>κ</i> =2, <i>ϵ</i> =5	10805	54	81073
GPT-UMLS-KG	<i>χ</i> =3, <i>κ</i> =1	6355	774	40496
GPT-UMLS-KG	χ =3, κ =2, ϵ =5	12284	785	104460

Findings:

- 1. Better performance with larger KGs.
- 2. Combined GPT-UMLS-KG outperforms both GPT-KG and UMLS-KG consistently.
- 3. GPT-KG contributes more to mortality and LOS predictions, while UMLS-KG edges out in readmission prediction.
- 4. Lower KG ratios are associated with larger standard deviations, due to the reduced likelihood of vital knowledge being contained.



(Based on 30 runs; Y-axis: ratio of KG used)

Effect of BAT GNN

Statistics of MIMIC-III/IV

	#patients	#visits	#visits/patient	#conditions/patient	#procedures/patient	#drugs/patient
MIMIC-III	35,707	44,399	1.24	12.89	4.54	33.71
MIMIC-IV	123,488	232,263	1.88	21.74	4.70	43.89

MIMIC-III MIMIC-IV Variants MT. RA. LOS MT. RA. LOS Case Drug. Drug. 34.2#0 w/ all 70.369.7 37.566.873.168.563.9 $61.6_{\downarrow 2.3}$ #1 $68.5_{\perp 1.2}$ $36.7_{\pm 0.8}$ $64.6_{\downarrow 2.2}$ $72.2_{\pm 0.9}$ $67.8_{\pm 0.7}$ $33.1_{\downarrow 1.1}$ w/o α $68.7_{\perp 0.6}$ $68.7_{\downarrow 1.0}$ $33.5_{\downarrow 0.7}$ #2 w/o β $66.5_{\downarrow 0.3}$ $72.1_{\downarrow 1.0}$ $69.9_{\downarrow 0.4}$ $37.2_{\downarrow 0.3}$ $67.0_{\downarrow 1.5}$ $63.2_{\downarrow 0.7}$ $72.9_{\downarrow 0.2}$ $33.7_{\downarrow 0.5}$ #3 $69.8_{\downarrow 0.5}$ $36.8_{\downarrow 0.7}$ w/o $W_{\mathcal{R}}$ $68.4_{\downarrow 1.3}$ $66.3_{\downarrow 0.5}$ $67.9_{\downarrow 0.6}$ $63.1_{\downarrow 0.8}$ $68.1_{\downarrow 0.4}$ $34.1_{\downarrow 0.1}$ #4 w/o AttnInit $69.5_{\downarrow 0.8}$ $37.2_{\downarrow 0.3}$ $69.2_{\downarrow 0.5}$ $65.5_{\downarrow 1.3}$ $72.5_{\downarrow 0.6}$ $62.4_{\downarrow 1.5}$ #5 w/o #(1,2,3,4) $67.5_{ m \downarrow 1.0}$ $32.9_{\downarrow 1.3}$ $60.5_{\downarrow 3.4}$ $67.4_{\perp 2.9}$ $68.1_{\pm 1.6}$ $36.0_{\pm 1.5}$ $64.0_{\perp 2.8}$ $71.7_{\perp 1.4}$

Ablation Study of BAT GNN's Variants

Observations:

- 1. Excluding node-level attention (α) results in a general drop across all tasks/datasets.
- Excluding visit-level attention (β) affects more on MIMIC-IV, as it has a higher #visits/patient. 2.
- Readmission prediction is more sensitive to the visit-level attention. 3.
- Drug recommendation is more sensitive to the attention initialization. 4.

Appendix: Patient Representation Learning

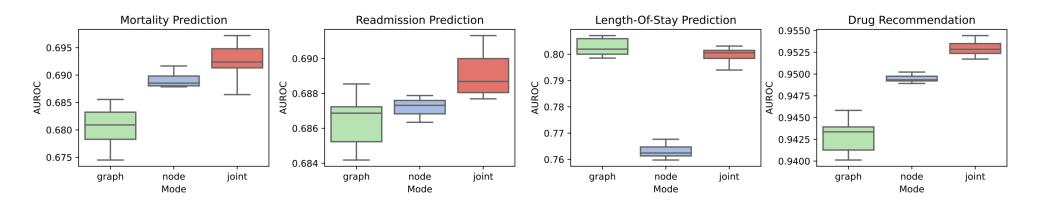
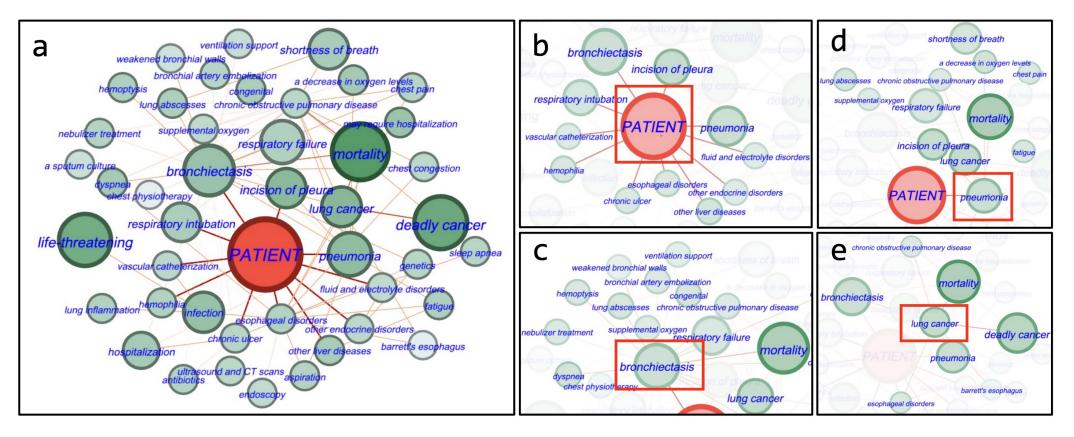


Figure 8: Performance of healthcare predictions with three types of patient representations (§3.3): (1) graph - patient graph embedding obtained through mean pooling of node embedding; (2) node - patient node embedding connected to the direct EHR node; (3) joint - embedding concatenated by (1) and (2). We use GPT-KG to perform this analysis.

Observations:

The patient graph contains more information as well as more noise. The patient node contains more accurate information, as it directly links the EHR nodes. A joint representation of them is a balance.

Interpretability of GraphCare



Observations:

Removing the indirect node "lung cancer" connecting crucial nodes "mortality" and "deadly cancer" would result in a failure of mortality prediction.



Highlight the importance of the personalized KG.

Thank you!

Preprint: <u>https://arxiv.org/pdf/2305.12788.pdf</u> Code: <u>https://github.com/pat-jj/GraphCare</u>